

Prevention and Management of Insider Trading

In order to prevent the Company's personnel from violating the regulations related to insider trading, the Company's Board of Directors has formulated the "Management Procedure for Insider Trading" as a basis for compliance by the Company's directors, managers, and those who are subject to insider trading regulations. In addition, in order to establish a sound internal mechanism for handling and disclosing material information of the Company to avoid improper disclosure of information and to prevent insider trading, the Company has established the "Procedures for Handling Material Internal Information" and the "Procedures for Company Spokespersons and Deputy Spokespersons". The above rules and regulations are publicized and posted on the Company's internal website for compliance by the employees.

Implementation Status

1. Year 2024

- 1.1 On January 29, March 14, September 5, October 25, and December 5, the Company sent email reminder to its Insiders to improve awareness of its prohibition against insider trading and the prevention of conflicts of interest, requesting all relevant persons to strictly comply with the prohibition of insider trading under Article 157-1 of the Securities and Exchange Act, as well as with the relevant internal rules and regulations of the Company.
- 1.2 On February 6, April 11, July 2, and October 4, the Company sent email reminder to all directors informing the prohibition of insider trading and the prohibition of trading the Company's shares during blackout periods.
- 1.3 On March 20, June 18, August 12, and October 2, the Company provided its Insiders with written case studies on insider trading.
- 1.4 On January 16, April 26, and July 16, in response to the letter from the Taiwan Stock Exchange, the Company provided written guidance to its insiders on the "Common Situations in which Reporting of Changes in Insider Ownership Violates the Securities and Exchange Act".
- 1.5 On July 8, and August 8, the Company provided written guidance to managers who were promoted in that year and signed the relevant managers' declarations.

2. Year 2023

- 2.1 The Company launched, "Introduction of TSRC Management Procedure for Insider Trading", 0.5 hour online course and quiz with all the 155 designated participants completed the training.
- 2.2 On January 17, March 10, June 6, August 29, October 31, and December 8, the Company sent email reminder to its Insiders to improve awareness of its prohibition against insider trading and the prevention of conflicts of interest, requesting all relevant persons to strictly comply with the prohibition of insider trading under Article 157-1 of the Securities and Exchange Act, as well as with the relevant internal rules and regulations of the Company.
- 2.3 On February 1, April 7, July 6, and October 12, the Company sent email reminder to all directors informing the prohibition of insider trading and the prohibition of trading the Company's shares during blackout periods.
- 2.4 On August 14 and October 11, the Company provided its Insiders with written case studies on insider trading and short-swing trading.
- 2.5 On April 14 and October 18, in response to the letter from the Taiwan Stock Exchange, the Company provided written guidance to its insiders on the "Common Situations in which Reporting of Changes in Insider Ownership Violates the Securities and Exchange Act".
- 2.6 The Company provided written guidance to managers who were promoted in that year and signed the relevant managers' declarations.